

Questionnaire for Suitability/Adequacy Test

The client is informed that by using the information provided in this questionnaire, IFB FINWEST will proceed to evaluate the suitability/appropriateness of the financial products/instruments offered for trading in order to establish the client's investment profile.

The client acknowledges that the evaluation of suitability is conducted so that IFB FINWEST can act in the client's best interest and, in this context, determine which financial products/instruments are suitable/appropriate for the client's profile.

By signing this request, the client declares that in case of refusal to provide the information from the Suitability/Adequacy Test Questionnaire, IFB FINWEST is not obligated to evaluate the suitability of the client's investment. The refusal to provide complete information will lead to IFB FINWEST's inability to determine the suitability of the considered financial products/instruments.

Please answer the following questions with the ultimate goal of IFB FINWEST establishing the appropriate/opportune financial instruments for your client profile.

- 1) In which age category do you belong, considering the options below?
 - a. Under 40 years old
 - b. Between 40 and 60 years old
 - c. Over 60 years old

- 2) What is your marital status?
 - a. Married
 - b. Divorced
 - c. Widow/widower
 - d. Single

- 3) What is your estimated annual income?:
 - a. Up to 10,000 Euros
 - b. Between 10,000 and 25,000 Euros
 - c. Over 25,000 Euros

- 4) Considering that investing in financial instruments carries a risk of loss, what is the level of loss you are willing to accept from the initial investment value
 - a. Up to 20%
 - b. Up to 40%
 - c. Up to 100%

- 5) What is your professional training?
 - a. Higher economic or legal education
 - b. Other higher education
 - c. Other education

- 6) What experience do you have in investing in the capital market?
 - a. None
 - b. Up to one year
 - c. Between 1 and 3 years
 - d. More than 3 years

- 7) Have you traded shares of listed funds (FP, SIFs)?
 - a. No
 - b. Up to one year

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- c. Between 1 and 3 years
 d. More than 3 years
- 8) Have you traded structured products?
 a. No
 b. Up to one year
 c. Between 1 and 3 years
 d. More than 3 years
- 9) What does ex-date mean?
 a. The date on which a shareholder, if he holds shares, benefits from a dividend
 b. The first working day that the shares no longer carry rights
 c. The date on which the General Meeting of Shareholders of a listed company takes place
 d. Uncertain
- 10) What rights do you have if you own shares?
 a. The right to dividends and the right to vote
 b. The right to benefit from services and products received from the company in which you hold the shares
 c. Wages
 d. Uncertain
- 11) Who has the right of pre-emption?
 a. Shareholders from the record date
 b. Board of Directors
 c. Employees of the company
 d. Any investor even if he/she does not own shares
 e. Uncertain
- 12) If you own shares in listed investment funds (FP, SIFs), you can sell them to a price equal to:
 a. Net Asset Value
 b. Market price
 c. They can only be sold at maturity
 d. Uncertain
- 13) If you own shares in investment funds listed on the market (FP, SIFs), you can benefit from:
 a. Dividends
 b. Interest rate
 c. Net asset growth
 d. Other salary benefits
 e. Uncertain
- 14) Can listed investment funds (FP, SIFs) hold shares in unlisted companies?
 a. No
 b. Yes
 c. Only with the approval of the General Meeting of Shareholders
 d. Yes, but only if the companies are Romanian companies
 e. Uncertain
- 15) An investor who holds bonds at the reference date has the right to collect:
 a. Dividends
 b. Coupons
 c. He is not allowed to collect anything, but is able to attend the General Meeting of Shareholders

- d. Wages
 - e. Uncertain
- 16) How does the yield on a bond evolve when its price rises?
- a. Goes up
 - b. Goes down
 - c. The bond yield is not influenced by the price increase
 - d. Uncertain
- 17) At what price are the bonds listed on the Bucharest Stock Exchange traded?
- a. Net price, which does not include accrued interest
 - b. Gross price, which includes accrued interest
 - c. Uncertain
- 18) Which structured products have leverage?
- a. Turbo certificates
 - b. Index certificates
 - c. Corporate bonds
 - d. Shares
 - e. Uncertain
- 19) Which structured products offer investors the opportunity to take advantage of the decrease in the underlying asset?
- a. Turbo long certificates
 - b. Turbo short certificates
 - c. Index certificates
 - d. Uncertain
- 20) In case of reaching the barrier of a turbo structured product:
- a. Product trading is suspended
 - b. The product continues to trade, with extensive daily variation
 - c. It is the decision of the issuer of structured products
 - d. Uncertain



Perspectiva noastră. Profitul tău

SSIF IFB FINWEST SA

Sediul Central ARAD
Strada Dimitrie Bolintineanu 5, ap.4 și 5
310176 ARAD
Tel: 0257-281611 Fax: 0257-281612
ifb@ifbfinwest.ro www.ifbfinwest.ro
